



GVAP & ASSOCIATES
CHARTERED ACCOUNTANTS

To,
Mr. Amit Goel
Pace Financial Investment Adviser Private Limited
Add: A-1/291, Safdarjung Enclave, New Delhi-110029

Sub: Annual certificate confirming compliance with the client level segregation requirements as specified in Regulation 22 of the SEBI Investment Advisors, 2020 Regulations

Dear Sir,

With reference to the captioned subject, we have provided this annual certificate confirming compliance with the client level segregation requirements as specified in Regulation 22 of amended IA Regulations. This certificate is pursuant to the sub-clause (i) of Clause 2 of the circular no SEBI/HO/IMD/DF1/CIR/P/2020/182 dated September 23, 2020, having subject: Guidelines for Investment Advisers.

On the basis of information available and according to the explanation given to us we here by certify that RIA i.e. Pace Financial Investment Adviser Private Limited has not operated investment advisory activities for any client during the period started from 01st April, 2023 and ended on 31st March, 2024, Therefore requirement of the client level segregation for its advisory and distribution activities is not applicable.

For
GVAP & Associates
Firm Registration no.: 009009C



Name of Auditor: CA Vikas Gupta
Partner
Membership no. 078393
UDIN: 24078393BKDOJT1210
Date: 01.08.2024
Place: New Delhi

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